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PTO/SB/21 (08-03)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/723,097	
Filing Date	November 26, 2003	0
First Named Inventor	Guoming G. Zhu	
Art Unit	3747	
Examiner Name	Johnny H. Hoang	
Attorney Docket Number	46107-0098	

		ENCLOSURES (check all that ap	ply)		
Fee Transmit	ttal Form	Drawing(s)	After Allowance communication to Group		
Fee A	Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences		
Amendment	/ Reply	Petition	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
Afte	r Final	Petition to Convert a Provisional Application	Proprietary Information		
Affic	davits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address	Status Letter		
Extension of	Time Request	Terminal Disclaimer	Other Enclosure(s) (please identify below):		
Express Aba	ndonment Request	Request for Refund	PTO/SB/08a Form; Copies of 5 Foreign Art References; Return Receipt Postcard		
Information D	Disclosure Statement	CD, Number of CD(s)			
Certified Cop Document(s	•	Remcrks			
Response to	o Missing Parts/ Application	Foreign Art References; EP0477507A3, EI and GB2396754A	P0922856A3, GB2345972A, GB2364128A		
	ponse to Missing Parts er 37 CFR 1.52 or 1.53				
	SIGNATUR	RE OF APPLICANT, ATTORNEY, OR AGI	ENT		
Firm or Individual name	Michael A. Schaldenl Dickinson Wright PL				
Signature M.U. Wully					
Date	April 4, 2005				

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsunile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope acultressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the

Typed or printed name Christine M. Wolfe

Signature Christine M. Wolfe

Date April 4, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (12-04v2)

Approved for use through 07/31/2006. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
rwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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Fees pursuant to the Conso			_ '	Application Nu	mber 10/7	10/723,097		
FEE TR	ANSI	/III I A	L	Filing Date	Nov	ember 26, 2003		
for I	<b>FY 200</b>	5		First Named In	ventor Guo	Guoming G. Zhu		
			Examiner Nam	e Joh	nny H. Hoang			
Applicant claims small entity status. See 37 CFR 1.27			Art Unit	374	7			
TOTAL AMOUNT O	F PAYMENT	(\$) \$18	0.00	Attorney Docke	et No. 461	07-0098		
METHOD OF PAYM	ENT (check all	that apply)						
☐ Check ☐ Cred	dit Card D	Money Order	□Non	e Othe	er (please iden	tify):		
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For the above-identific	ed deposit accoun	t, the Director is he	reby aut	horized to: (check a	all that apply)			
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FEE CALCULATION								
1. BASIC FILING, SEA	•							
	FILING F	EES Small Entity	SEAR	CH FEES Small Entity	EXAM	INATION FEES Small Entity		
Application Type	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fees Paid(\$)	
Utility	300	150	500	250	200	100		
Design	200	100	100	50	130	65	19131 1	
Plant	200	100	300	150	160	80		
Reissue	300	150	500	250	600	300		
Provisional	200	100	0	0	0	0		
EXCESS CLAIM FE     Fee Description     Each claim over 20 (inception independent claim Multiple dependent claim for the second independent claim for the second	cluding Reissue n over 3 (includ	•				Fee (\$) 50 200 360	Small Entity Fee (\$) 25 100 180	
Total Claims	Extra Claims	Fee (\$)		Fee Paid (\$)		Fee (\$)	Dependent Claims Fee Paid (\$)	
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HP = highest number of tot	al claims paid for,	if greater than 20.						
Indep. Claims - 3 or HP	Extra Claims	<u>Fee (\$)</u> x \$200	000 =	Fee Paid (\$) = \$0.00				
HP = highest number of ind				φ0.00				
3. APPLICATION SIZE If the specification and a 37 CFR 1.52(e)), the ap See 35 U.S.C. 41(a)(1)	drawings exceed plication size fe	e due is \$250 (\$	paper (e 125 for	excluding electro small entity) for	onically filed s each additio	sequence or com nal 50 sheets or	nputer listing under fraction thereof.	
Total Sheets	Extra Shee		ber of e	ach additional 50	or fraction the	ereof Fee (	\$)	
- 100	-	/ 50		(round up		x <u>\$250.0</u>	0 = \$0.00	
4. OTHER FEE(S)			4'	43			Fee Paid (\$)	
Non-English specification Other (e.g. late filing su		no small entity of lemental Inform			ent (after Noti	ice of Allow)	\$180.00	
SUBMITTED BY	11		1					
Signature	N/ Www	MMM	() F	Registration No. Attorney/Agent)	47,923	Telephone	248-433-7570	
Name (Print/Type)		Michael A. S	Schalde	nbrand		Date	April 4, 2005	

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Confirmation No. 1122

Application No.:	10/723,097	)
Filing Date:	November 26, 2003	)
Applicant(s):	Guoming G. Zhu et al.	)
Group Art Unit:	3747	)
Examiner:	Johnny H. Hoang	)
The Pe	gine Diagnostic System Using eak And Integration Ionization at Signals	
Attorney Docket:	46107-0098	_)

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner For Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, the references listed on the enclosed Form PTO/SB/08a are submitted for consideration by the Examiner in the examination of the above-identified patent application.

The full consideration of the references in their entirety by the Examiner is respectfully requested and encouraged. Also, it is respectfully requested that the references be entered into the record of the present application and that the Examiner place his or her initials in the appropriate area on the enclosed Form PTO/SB/08a, thereby indicating the Examiner's consideration of each of the references.

The submission of the references listed on the Form PTO/SB/08a is for the purpose of providing a complete record and is not a concession that the references listed thereon are prior art to the invention claimed in the patent application.

Further, the submission of the references is not to be taken as a concession that any reference represents art that is relevant or analogous to the claimed invention. Accordingly, the right to argue that any reference is not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved.

The Information Disclosure Statement is being filed: (a) within three months of the filing date of the patent application, (b) within three months of the date of entry into the national stage as set forth in 37 C.F.R. § 1.491 of the international application, or (c) before the mailing date of a first Office Action on the merits. after (a), (b), or (c) above, but before the mailing date of a final action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution of the application, and is accompanied by one of: the Certification under 37 C.F.R. § 1.97(e) (see "Certification" below). the fee set forth in 37 C.F.R. § 1.17(p) (see "Fees" below).  $\boxtimes$ after the mailing date of a final action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution but is filed on or before payment of the issue fee, and includes the Certification under 37 C.F.R. § 1.97(e) (see "Certification" below), and the fee set forth in 37 C.F.R. § 1.17(p). **Copies Of The References**  $\boxtimes$ Copies of the foreign references listed on the enclosed Form PTO/SB/08a are enclosed herewith. Attached to each reference not in the English language is a concise explanation of the relevance pursuant to 37 C.F.R. § 1.98(a)(3).  $\boxtimes$ A copy of the foreign search report is enclosed herewith. The references listed on the enclosed Form PTO/SB/08a were previously identified in the parent application(s) of the present application, and copies of the references were furnished at that time. Accordingly, additional copies of the references are not submitted herewith, so as not to burden the file with duplicate copies of references. The Examiner is respectfully requested to carefully review the references in accordance with the requirements set out in the Manual of Patent Examining In accordance with 37 C.F.R. § 1.98(d), the details of the parent application(s) relied upon for an earlier filing date under 35 U.S.C. § 120 in which copies of the references were previously furnished are set out below:

In re Application of Guoming G. Zhu et al

Attorney Docket: 46107-0098

In re Application of Guoming G. Zhu et al

Attorney	Docket:	46107-0098

U.S. APPLICATIONS		Status (chec	k one)	
U.S. APPLICATIONS	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
1.0/				
2.0/				
3.0/				

This is a PCT application in the entry of the National Phase in the United States. A
copy of the International Search Report is attached for the Examiner's information.
The documents listed on the International Search Report are listed on the attached
Form PTO/SB/08a for consideration by the Examiner and for listing on any patent
resulting from this application. If the International Search Report was from the US,
EPO, or JPO search authorities, copies of these references should have been supplied
to the USPTO under the trilateral agreement and are believed to be in the file of the
above-identified application. (MPEP 1893.03(g))

## Other Applications

The Examiner's attention is directed to the following U.S. patent application(s):

U.S. APPLICATIONS	Status (chec	Status (check one)			
U.S. APPLICATIONS	U.S. FILING DATE	PATENTED	PENDING	ABANDONED	
1.0/					
2.0/					

## Certification

П

The undersigned attorney hereby certifies that each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement.

The undersigned attorney hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application or, to the knowledge of the attorney signing after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

In re Application of Guoming G. Zhu et al Attorney Docket: 46107-0098 Petition The undersigned attorney hereby petitions the Commissioner to consider this Information Disclosure Statement and the references listed on the enclosed Form PTO/SB/08a in the examination of the above-identified patent application. Fees No fee is owed by the applicant(s). X The \$180.00 Fee is enclosed. **Authorization To Charge Additional Fees**  $\boxtimes$ If any additional fees are owed in connection with this communication, please charge Deposit Account No. 04-1061. Respectfully submitted, **DICKINSON WRIGHT PLLC** Date: April 4, 2005 Michael A. Schaldenbrand Reg. No. 47,923 Suite 800 1901 L Street, NW Washington, DC 20036 (248) 433-7570 MAS/cmw Enclosures

BLOOMFIELD 46107-98 682739v1

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet I of

Complete if Known					
Application Number	10/723,097				
Filing Date	November 26, 2003				
First Named Inventor	Guoming G. Zhu				
Art Unit	3747				
Examiner Name	Johnny H. Hoang				
Attorney Docket Number	46107-0098				

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.1	Document Number  Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US- 6,091,244	07/18/2000	Rottler		
		US-				
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			Foreign Patent Document		Foreign Patent Document		Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Examiner Initials	Cite No. <sup>1</sup>	Office <sup>3</sup>	Number <sup>4</sup>	Kind⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T <sup>6</sup>		
		EP	0477507	A3	04/01/1992	Snap On Tools Corp.				
		EP	0922856	A3	06/16/1999	Cummins Engine Co., Inc.				
		GB	2345972	A	07/26/2000	Daimler Chrysler AG	_			
		GB	2364128	A	01/16/2002	Robert Bosch GMBH				
		GB	2396754	A	06/30/2004	Visteon Global Tech, Inc.		+		
							1			

_				
1	Examiner	•	Date	
	Signature		Considered	/

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer. U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (08-03)
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Substitute for form 1449B/PTO				Co		
				Application Number	10/723,097	
INF	DRMATION	I DIS	SCLOSURE	Filing Date	November 26, 2003	
STATEMENT BY APPLICANT  (use as many sheets as necessary)				First Named Inventor	Guoming G. Zhu	
				Art Unit	3747	
				Examiner Name	Johnny H. Hoang	
Sheet	2	of	2	Attorney Docket Number	46107-0098	

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS									
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²						
		U.K. Patent Office, Combined Search and Examination Report Under Sections 17 & 18(3); dated March 1, 2005; 4 pages							
		·							
Examine	<u></u>	Date	$\dashv$						
Signature		Considered	)						

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.